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# Discrete Mathematics

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# 1 Enumerative combinatorics

## 1.1 Double counting

**Lemma 1.1** (Handshaking lemma): *If  $N$  people shake hands, the number of those who shook an odd number of hands is even.*

*Proof:* Let  $\mathcal{S}$  be the set of pairs of persons and handshakes  $(p, h)$ . So  $\mathcal{S} \subseteq P \times H$ .

Now determine the cardinality of  $\mathcal{S}$ :

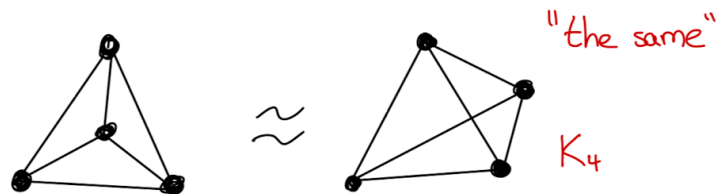
$|\mathcal{S}| = 2|H| = \sum_{p \in P} \text{Number of handshakes of } p$  Therefore the number of  $p \in P$  with odd number of handshakes is even. ■

**Notation 1.2:**  $\binom{S}{k}$  describes all subsets of a set  $S$  of cardinality  $k$ , for  $k \in \mathbb{N}$ .

**Definition 1.3** (Simple graph): A *simple graph*  $G$  is a pair  $(V, E)$  where  $V$  is a set of vertices and  $E \subseteq \binom{V}{2}$  set of edges. We call  $G$  *finite* if  $V$  is finite.

**Definition 1.4** (Graph isomorphism): Two graphs  $G, H$  are *isomorphic* iff

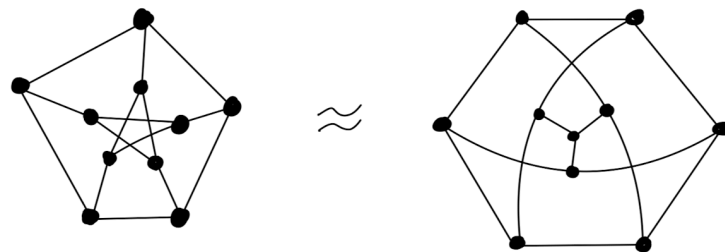
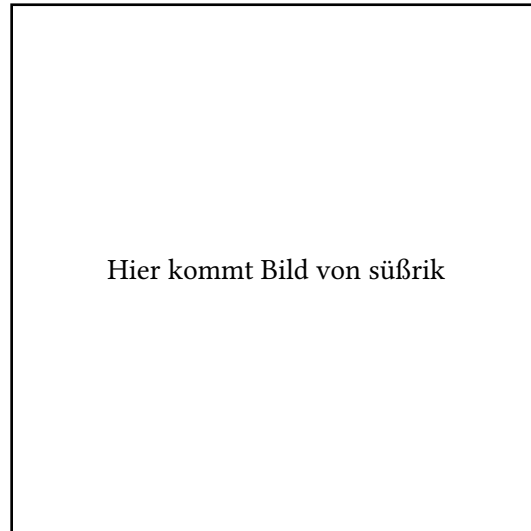
$\exists \varphi : V(G) \rightarrow V(H)$  such that  $\varphi$  induces a bijection of  $E(G)$  to  $E(H)$



Hier kommt Bild von süßrik

**Convention 1.5:**  $[n] = \{1, \dots, n\}$

**Example 1.6** (Petersen's graph):

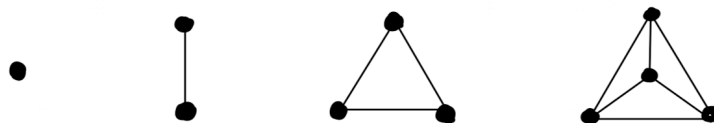


graph of all 2-subsets of  $\{1, 2, 3, 4, 5\} = [5]$  edge if disjoint

From here on graphs are up to isomorphism.

**Notation 1.7:**

(1) complete graph:  $K_n$  with  $1 \leq n$  vertices, all edges



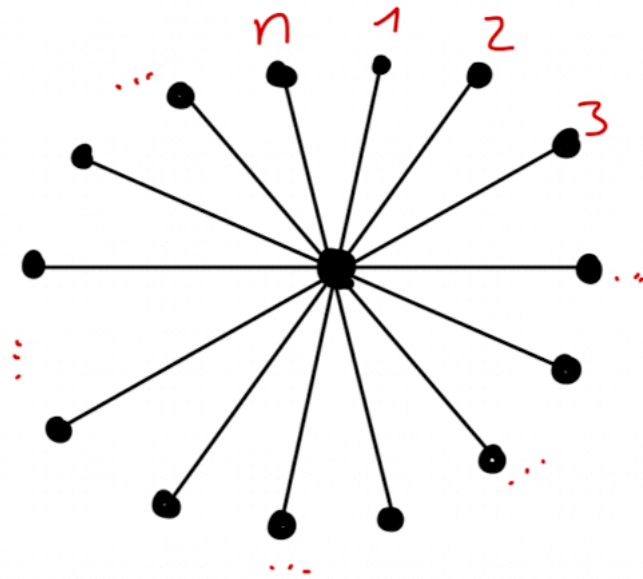
:

(2) cycle graph:  $C_n$   $n \geq 3$  vertices-classes mod  $n$   
 $0, 1, 2, \dots, n-1$   $(x, x+1)$  are edges

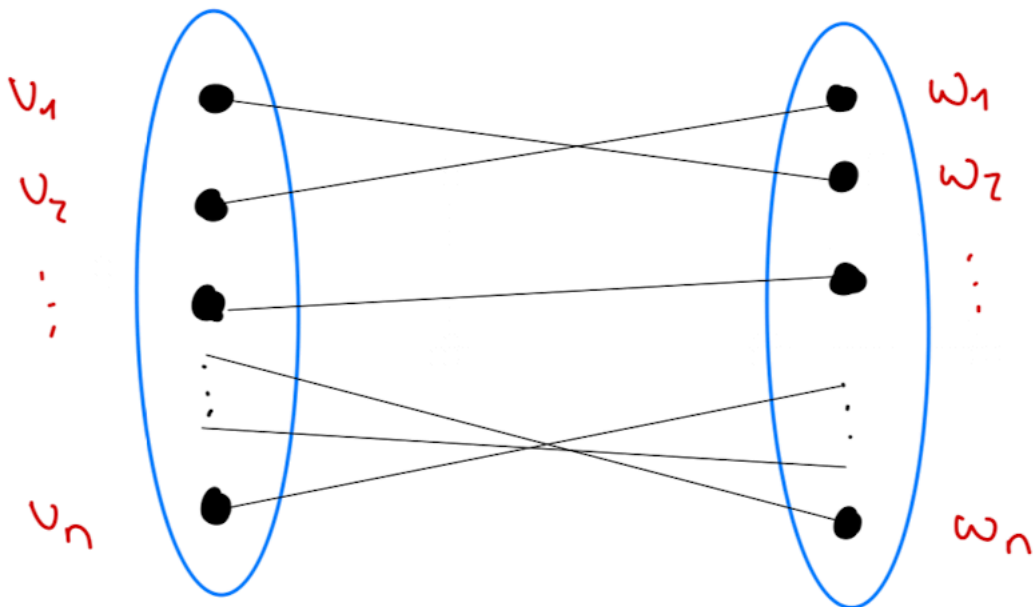
(3) empty graph:  $V = \emptyset$

(4)  $N_n$ -graph:  $n$  vertices and no edges ( $E = \emptyset$ )

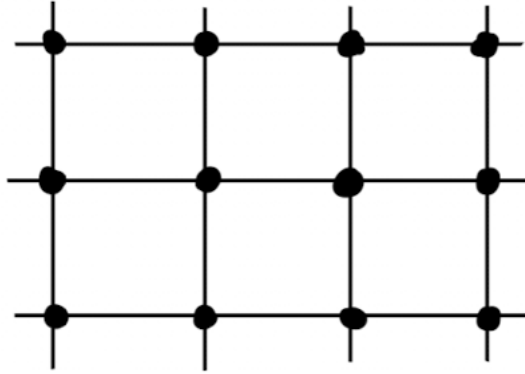
(5) star graph:



(6)  $K_{m,n}$ : for  $m, n \geq 1$  edges = all  $(v_i, w_j)$  # edges =  $m \cdot n$  complete bipartite graph



(7) Integer grid graph: vertices =  $(x, y)$  for  $x, y \in \mathbb{Z}$  edges:  $((x, y), (x + 1, y))((x, y)(x, y + 1))$



(8) Vector space  $W$   $S$ -selected set of vectors  $\Gamma_s$ -gridgraph vertices= which are  $\mathbb{Z}$ -linear combination of vectors from  $S$ . edges:  $(v, v + s), v \in V(\Gamma_s), s \in S$

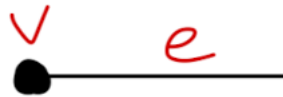
**Remark 1.8** (Number of edges in  $K_n$ ):

$$2|E| = \sum_{i=1}^n (n-1) = n(n-1)$$

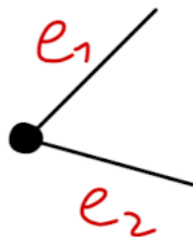
$$\Leftrightarrow |E(K_n)| = \frac{n(n-1)}{2}$$

**Definition 1.9** (Adjacency): Let  $G$  be a graph

- (1)  $v, w \in V(G)$  adjacent if  $(v, w) \in E(G)$
- (2)  $v \in V(G)$  and  $e \in E(G)$  are adjacent if  $v \in e$



(3)  $e_1, e_2 \in E(G)$  adjacent



**Definition 1.10** (Valency): For a graph  $G, v \in V(G)$

$$\text{val } v = \# \text{ adjacent edges to } v$$

**Definition 1.11:** A graph  $G$  is called *regular* if all vertices have the same valency  $d$ -regular.

**Example 1.12:**

- (1)  $K_n$  is  $(n - 1)$ -regular
- (2)  $C_n$  is 2-regular
- (3)  $K_{n,n}$  is  $n$ -regular

# edges of a  $d$ -regular graph with  $n$  vertices =  $\frac{n \cdot d}{2}$

**Corollary 1.13:** If  $d$  is odd, then  $n$  is even

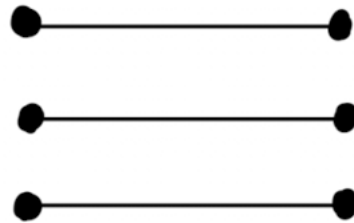
**Example 1.14:**  $\nexists$  3-regular graph with 2025 vertices

**Definition 1.15:** Let  $G$  be a graph. The *complement* of  $G$  is  $\overline{G} : V(\overline{G}) = V(G)$   
 $E(\overline{G}) = \{(v, w) | v, w \in V, (v, w) \notin E(G)\}$

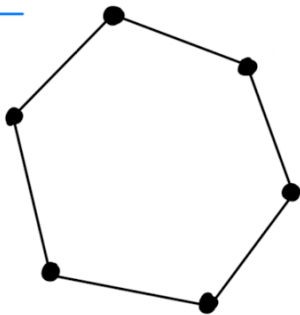
Self- complementary graph  $G \approx \overline{G}$

$n=6$ : all  $d$ -regular graphs on 6 vertices

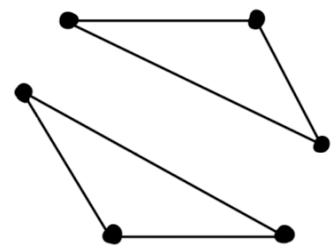
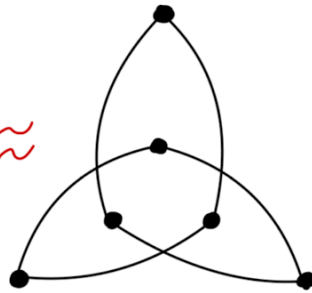
$d=1$ :



$d=2$ :

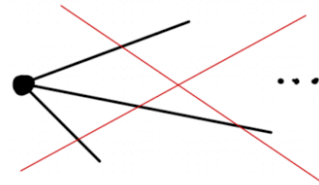
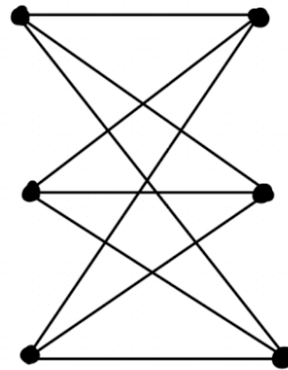
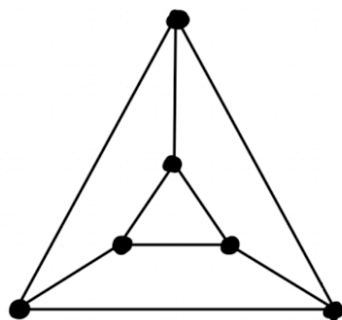
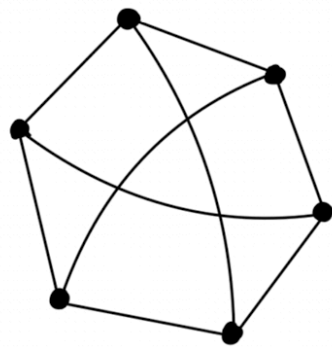


$\approx$



2 graphs

$d = 3$ :



$G$ - $d$ -regular graph on  $n$  vertices  $\bar{G}$ -( $n - d - 1$ )-regular graph  $n = 6$ : 3-regular graphs = complements of 2-regular graphs

**Theorem 1.16:** Let  $G$  be a finite simple graph, then #vertices with odd valency is even.

## 1.2 Binomial coefficients

**Definition 1.17:** For  $n \geq k \geq 0$ :

" $n$  chose  $k$ "  $\binom{n}{k}$ -binomial coefficient = # $k$ -subsets of  $[n]$   $\binom{n}{0} = 1$ ,  $\binom{n}{1} = n$ ,  $\binom{n}{2} = \frac{n(n-1)}{2}$   
 $\binom{n}{k} = \binom{n}{n-k}$

**Theorem 1.18:**  $\binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n} = 2^n$

*Proof:* Proof by obviousness. (Ist halt die Potenzmenge lol) ■

**Proposition 1.19:** Let  $n$  be a positive integer #permutation of  $[n] = n!$ .

**Theorem 1.20:** Given  $k!(n - k)!\binom{n}{k} = n!$  and  $0! = 1$  we have (???)

$$\binom{n}{k} = \frac{n!}{k!(n - k)!} = \frac{n - k + 1}{k!} \cdot n$$

*Proof:* Double count permutations

#permutations =  $n!$

$\pi_1 \pi_2 \dots \pi_n$

choose the set  $\{\pi_1, \dots, \pi_k\}$



order them  $k!$

order the rest  $(n - k)!$

$$\pi_1 \dots \pi_k \pi_{k+1} \dots \pi_n$$

■

**Notation 1.21:**  $C(n, k) = \binom{n}{k}$  “Combinations”

**Theorem 1.22:**

(1) *Pascal triangle rule*

$$\binom{n+1}{k} = \underbrace{\binom{n}{k-1}}_{\text{subsets with } n+1} + \underbrace{\binom{n}{k}}_{\text{subsets without } n+1} \quad : 1 \ 2 \ \dots \ n \ (n+1)$$

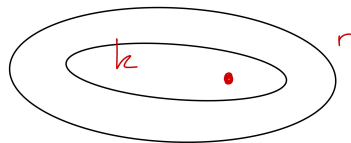
(2)  $\binom{n}{k} = \frac{n}{k} \binom{n-1}{k-1}$   $k \binom{n}{k} = n \binom{n-1}{k-1}$  # $k$ -subsets with a chosen leader

(3)  $\binom{k}{k} + \binom{k+1}{k} + \dots + \binom{n}{k} = \sum_{t=k}^n \binom{t}{k} = \binom{n+1}{k+1}$

(4)  $\binom{n}{1} + 2\binom{n}{2} + 3\binom{n}{3} + \dots + n\binom{n}{n} = n \cdot 2^{n-1}$  ??????????  $k\binom{n}{k}$

(5)  $\binom{n}{0}^2 + \binom{n}{1}^2 + \dots + \binom{n}{k}^2 + \dots + \binom{n}{n}^2 = \binom{2n}{n}$

(6) Triple counting:  $k \binom{n}{k} = n \binom{n-1}{k-1} = (n - k + 1) \binom{n}{k-1}$



$k$ -sets with a chosen leader Was bedeutet dieses :1 2 3 ... 2n am Ende immer?? möglichkeiten aus den er auswählt

*Proof:*

(3) Choose  $k + 1$  elements out of  $n + 1$  elements by first choosing the maximal one  
 $m = k + 1, k + 2, \dots, n + 1$

■

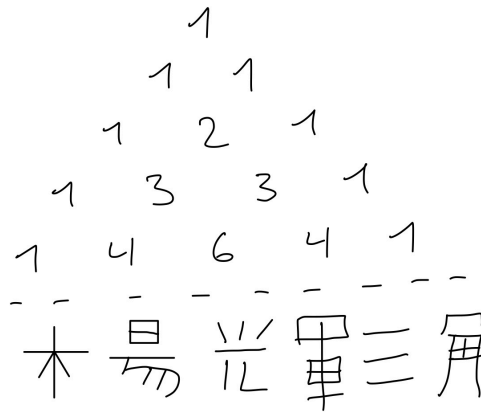
**Proposition 1.23:** For numbers  $n \geq k \geq l \geq 0$

$$\binom{n}{k} \binom{k}{l} = \binom{n}{l} \binom{n-l}{k-l} = \binom{n}{k-l} \binom{n-k+l}{l}$$

*Proof:* “Actually the same” 😊 with  $|K| = k, |L| = l$ .

Counting  $K, L, L \subseteq K$  Notice the prior example is a special case with  $l = 1$ .

■



Pascal Triangle was known in China before under the name 楊輝三角. According to Wikipedia also in 🇮🇷 Persia and 🇮🇷 Iran before that already.

**Theorem 1.24** (Vandermonde's identity): With  $m, n \geq r$ :

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{k} \binom{n}{r-k}$$

*Proof:*

- choose  $r$  people
- $k$  men
- $r - k$  women
- sum overall  $k = 0, \dots, r$

■

**Theorem 1.25** (Binominal theorem):

$$\begin{aligned} (x+y)^n &= x^n + \binom{n}{1}x^{n-1}y + \binom{n}{2}x^{n-2}y^2 + \dots + \binom{n}{n}y^n \\ &= \sum_{k=0}^n \binom{n}{k} x^k y^{n-k} \text{ true symbolically} \end{aligned}$$

*Proof:* Coefficient of  $x^k y^{n-k}$ :

$$\underbrace{(x+y)(x+y) \cdots (x+y)}_n$$

$\binom{n}{k}$  is the # ways to pick  $k$  brackets out of  $n$ .

■

**Remark 1.26:** For  $x = y = 1$  it holds

$$2^n = \sum_{k=0}^n \binom{n}{k}$$

**Essentially just counting** We can use Theorem 1.25 to generate new formulas. For  $x = 1$  and  $y = -1$  it holds  $x + y = 1 - 1 = 0$ , therefore

$$0 = \binom{n}{0} - \binom{n}{1} + \binom{n}{2} - \dots + (-1)^n \binom{n}{n}.$$

This can be shown by calculating the binomials for odd  $n$  and even  $n$ .

On the other hand for  $x = 1$  and  $y = 2$  it holds

$$3^n = \binom{n}{0} + 2\binom{n}{1} + 4\binom{n}{2} + 8\binom{n}{3} + \dots + 2^n\binom{n}{n}.$$

**Corollary 1.27:**  $(1+t)^n = \sum_{k=0}^n \binom{n}{k} t^k$

**Corollary 1.28:**

$$(x+y)^n(x+y) = (x+y)^{n+1}$$

Hier waren wir kurz raus:

$$(x+y)^{2n} = ((x+y)^n)^2 = (x+y)^n(x+y)^n \text{ Compare the coefficient of } x^n y^n$$

$$\text{LHS: } \binom{2n}{n}$$

$$\text{RHS: } \sum_{i=0}^n \binom{n}{i} x^i y^{n-i} \cdot \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

$$(+ \dots +)$$

$$(+ \dots +)$$

$$= \dots + \underbrace{cx^n y^n}_{?}$$

$$x^i y^{n-i} \cdot x^{n-j} y^j = x^{n+i-j} y^{n-i+j} = x^n y^n \text{ iff } i = j$$

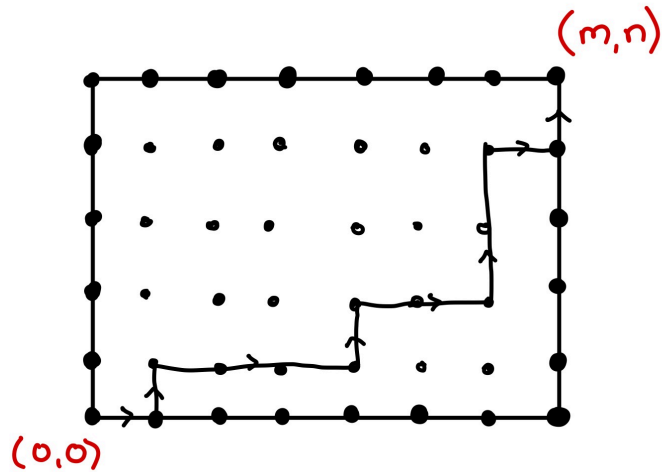
Then the coefficient  $c$  in  $cx^n y^n$  has to be

$$c = \sum_{i=0}^n \binom{n}{i} \binom{n}{i} = \sum_{i=0}^n \binom{n}{i}^2$$

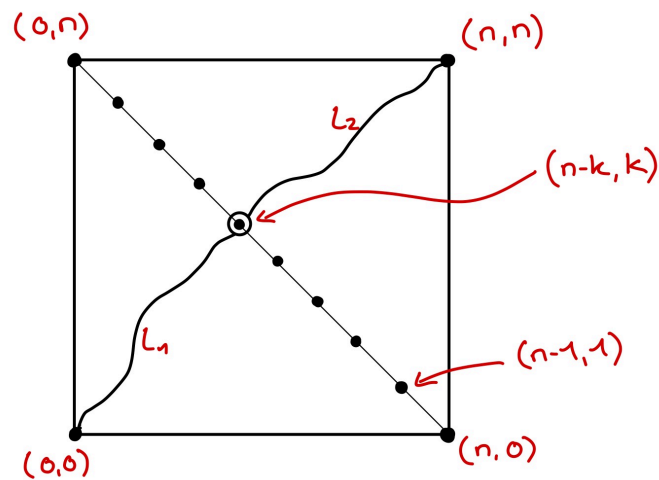
To summarize, we have three ways to prove combinatorial identities

- combinatorial
- by formula, put in the formula and calculate
- by algebraic identity  
method of generating fns

**Example 1.29:** rectangle, staircase path, many paths with same shortest length. Each step either up or to the right, otherwise not shortest path. Length is always  $m+n$ . Note whether up or right  
i.E.  $u, r, r, u$ . # Staircase paths =  $\binom{m+n}{m}$

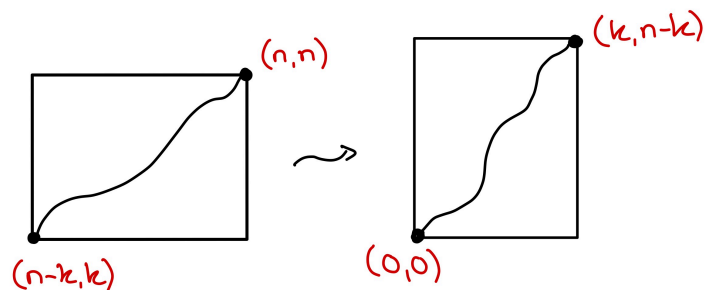


Now consider a square.



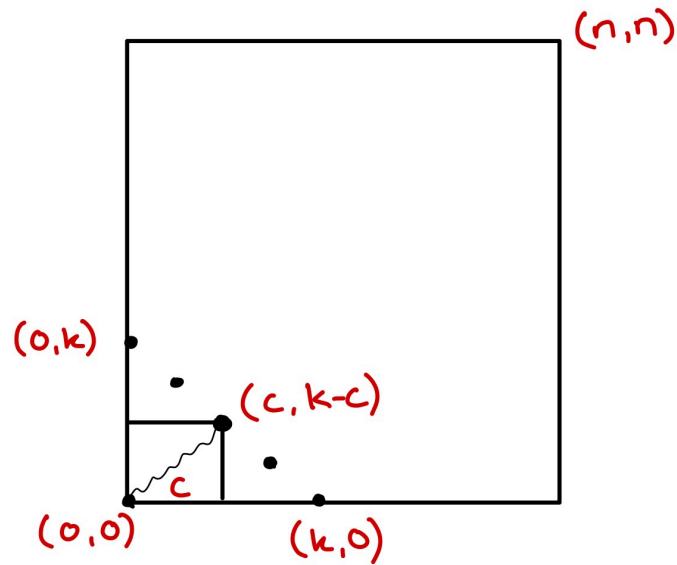
Now shortest path is of length  $\binom{2n}{n}$ . Have to pass exactly one checkpoint. Therefore each path contains a unique checkpoint  $(n-k, k)$ .  $c_k = \# \text{paths through } (n-k, k)$  then  $\binom{2n}{n} = \sum_{k=0}^n c_k = \sum_{k=0}^n \binom{n}{k}^2$ . Each path contains the part of getting to the checkpoint with length  $l_1$  and the part from the checkpoint to the destination of length  $l_2$ .

For  $l_1$  the length is easy to calculate  $l_1 : \binom{n}{k}$  ways For  $l_2$  we consider:

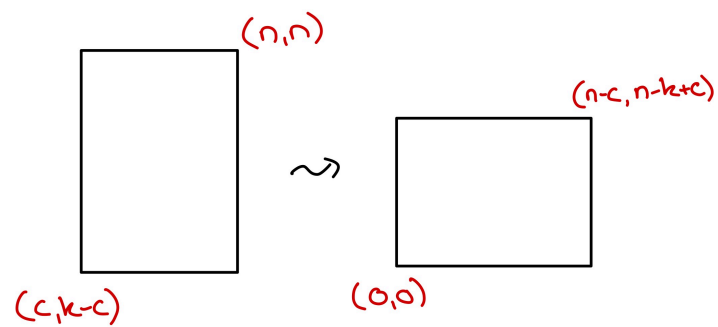


Therefore  $l_2 : \binom{n}{k}$  ways.

Further we consider a square with the checkpoints off the diagonal

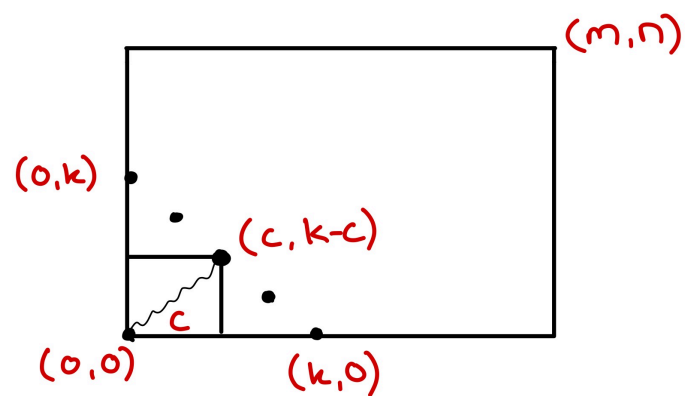


Here the same argument holds:

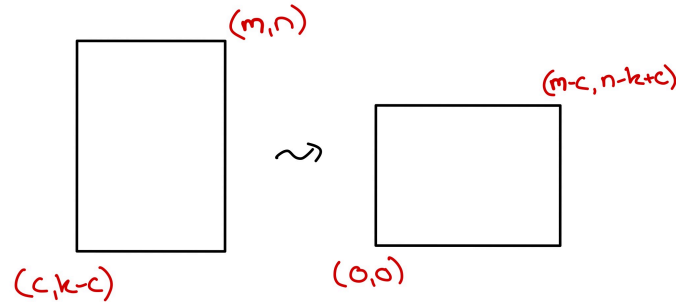


$$\binom{2n}{n} = \sum_{c=0}^k \binom{k}{c} \binom{2n-k}{n-c}$$

We now realize that this argument can be extended to general rectangles:



$$\binom{m+n}{n} = \sum_{c=0}^k \binom{k}{c} \binom{m+n-k}{m-c}$$



Special case:  $n = k \leq m$

### 1.3 Multinomial coefficients

**Definition 1.30:** Let  $n \geq 0, k_1, \dots, k_t \geq 0, t \geq 1$  such that  $n = k_1 + \dots + k_t$ . Then the *multinomial coefficient* is defined as

$$\binom{n}{k_1, \dots, k_t} := \frac{n!}{k_1! \cdot \dots \cdot k_t!}$$

**Remark 1.31:** for  $t = 2$ :  $\binom{n}{k_1, k_2} = \frac{n!}{k_1! k_2!} = \binom{n}{k_1}$  for  $n = k_1 + k_2 = k + n - k$  binomial coefficients: special case

$\binom{n}{k_1, \dots, k_t}$  does not depend on the order of  $k_1, \dots, k_t$ . for  $t \geq 2$ :

$$\binom{n}{k_1, \dots, k_{t-1}, 0} = \binom{n}{k_1, \dots, k_{t-1}}$$

If a  $k_i$  is 0, it can be discarded:

$$\binom{n}{k_1, \dots, k_{t-1}, 0} = \binom{n}{k_1, \dots, k_{t-1}}$$

If a  $k_i$  is 1, the following holds:

$$\binom{n}{k_1, \dots, k_{t-1}, 1} = n \binom{n-1}{k_1, \dots, k_{t-1}}$$

Further:

$$\binom{n}{k_1, \dots, k_t} = \binom{n}{k_t} \binom{n-k_t}{k_1, \dots, k_{t-1}}$$

**Theorem 1.32:**

$$\binom{n}{k_1, \dots, k_t} = \# \text{ways to distribute } n \text{ objects into } t \text{ bins}$$

*Proof:* Let  $S = \#$  ways to distribute  $n$  objects into bins  $1, \dots, t$  such that

- (1)  $k_i$  objects land in bin  $i$
- (2) objects are ordered within the bin

$|S| = c \cdot k_1! \cdot \dots \cdot k_t!$  There exists a bijection  $S \leftrightarrow$  all permutations of  $[n]$   $|S| = n!$  ■

We now adapt Theorem 1.25 to multinomials

**Theorem 1.33** (Multinomial theorem):

$$(x_1 + x_2 + \dots + x_t)^n = \sum_{k_1 + \dots + k_t = n} c_{k_1, \dots, k_t} x_1^{k_1} \cdot x_2^{k_2} \dots x_t^{k_t}$$

$$c_{k_1, \dots, k_t} = \binom{n}{k_1, \dots, k_t}$$

*Proof:* Same argument as in the proof of Theorem 1.25

$$(x_1 + \dots + x_t)^n = \underbrace{(x_1 + \dots + x_t)(\dots)(x_1 + x_2 + \dots + x_t)}_n$$

■

Just like for binomial coefficients there are many formulas.

**Lemma 1.34** (Formulas for multinomial coefficient):

- (1)  $k_m(k_1, \dots, k_m, \dots, k_n) = n \binom{n-1}{k_1, \dots, k_{m-1}, \dots, k_t}$  for  $k_m \geq 1$ .
- (2)  $\binom{n}{k_1, \dots, k_t} = \binom{n-1}{k_1-1, k_2, \dots, k_t} + \binom{n-1}{k_1, k_2-1, k_3, \dots, k_t} + \dots + \binom{n-1}{k_1, k_2, \dots, k_{t-1}-1}$  (Multi-analog of Pascal's triangle)

*Proof:*

- (1) Can also be proven in many different ways.
  - Directly using the definition
  - Combinatorial argument such as double counting: Choose a leader in set #m??????
- (2) • By formula if you dare 🐱
  - Combinatorial, just record in which subset is element  $n$

■

**Proposition 1.35:**

$$\binom{n}{k_1, \dots, k_t} = \binom{n}{k_1} \cdot \binom{n-k_1}{k_2} \cdot \dots \cdot \binom{n-k_1-\dots-k_{t-1}}{k_t}$$

*Proof:*

- (1) Just use the formula™

$$\begin{aligned} & \frac{n!}{k_1!(n-k_1)!} \cdot \frac{(n-k_1)!}{k_2!(n-k_1-k_2)!} \cdot \frac{(n-k_1-k_2)!}{k_3!(n-k_1-k_2-k_3)!} \cdot \dots \\ &= \frac{n!}{\cancel{k_1!(n-k_1)!}} \cdot \frac{\cancel{(n-k_1)!}}{k_2!(\cancel{n-k_1-k_2})!} \cdot \frac{\cancel{(n-k_1-k_2)!}}{k_3!(\cancel{n-k_1-k_2-k_3})!} \cdot \dots \\ &= \frac{n!}{k_1! \dots k_t!} \end{aligned}$$



(2) combinatorially choose the outset in a sequence

$$\binom{n}{k_1, \dots, k_t}$$

does not depend on permutation of  $k_1, \dots, k_t$  Let us compute  $\binom{n}{l, k-l, n-l}$  by using the prior formula

$$\binom{n}{l, k-l, n-l} = \binom{n}{l} \cdot \binom{n-l}{k-l}$$

On the other hand compute

$$\binom{n}{k-l, l, n-k} = \binom{n}{k-l} \cdot \binom{n-k+l}{l}$$

$$\binom{n}{n-k, l, k-l} = \binom{n}{k} \cdot \binom{k}{l}$$

■

## 1.4 Lucas Theorem

DFK was thinking about fractions over the weekend. In particular he was thinking about  $\frac{24}{15}$ . This is equal to  $\frac{8}{5}$ , which is also equal to  $\frac{2}{1} \cdot \frac{4}{5}$ . The obvious question now becomes: for what fractions does this work?

**Example 1.36:**

**$b = 2$**  Binary presentation

**$b = 10$**  Decimal presentation

**$b = 16$**  Hexadecimal presentation

**$b$  prime**  $p$ -adic presentation

**General base  $b$**   $n = n_0 + n_1b + n_2b^2 + \dots + 0 \leq n_i \leq b-1 \forall i$  The numbers  $n_0, n_1, \dots$  are called *digits*

**Theorem 1.37** (Lucas theorem): Let  $p$  be a prime number,  $n = \sum_{i=0}^{\infty} n_i p^i$ ,  $k = \sum_{i=0}^{\infty} k_i p^i$ . Then the following holds

$$\begin{aligned} \binom{n}{k} &= \binom{(\dots n_2 n_1 n_0)_p}{(\dots k_2 k_1 k_0)_p} \equiv \prod_{p \geq 0} \binom{n_i}{k_i} \pmod{p} \\ &\equiv \binom{n_0}{k_0} \binom{n_1}{k_1} \dots \pmod{p} \end{aligned}$$



**Example 1.38:**

- Compute  $\binom{2025}{995}$  and  $\binom{2025}{1000} \bmod 7$ .

$$2025 = 5 \cdot 343 + 6 \cdot 49 + 27 + 2 = (5622)_7$$

$$995 = (2621)_7$$

Therefore, by Lucas theorem we get

$$\binom{2025}{995} = \binom{(5622)_7}{(2621)_7} \equiv \binom{5}{2} \cdot \binom{6}{6} \cdot \binom{2}{2} \cdot \binom{2}{1} \bmod 7 \equiv 32 \equiv \bmod 7$$

aswell as

$$\binom{2025}{1000} = \binom{(5622)_7}{(2626)_7} = \binom{5}{2} \cdot \binom{6}{6} \binom{2}{2} \cdot \binom{2}{6} \equiv 0 \bmod 7$$

**Remark 1.39:** What happens if  $n$  is a prime number? That is, we want to compute the binomial coefficient  $\binom{p}{k}$  where  $p$  is prime and  $k \leq p$ . Then  $p = (10)_p$  and  $k = (ab)_p$ , where either  $a = 0$  or  $a = 1$ . Then by Lucas we get

$$\binom{p}{k} \equiv \binom{1}{a} \cdot \binom{0}{b} \bmod p = 1 \cdot \binom{0}{b}$$

For  $b \neq 0$ , the second term is equal to zero, and one for  $b = 1$ . Thus we get

$$\binom{p}{k} \equiv \begin{cases} 1 \bmod p & \text{for } b = 0 \iff k \in \{0, p\} \\ 0 \bmod p & \text{else} \end{cases}$$

More generally, by the same argument we can compute  $\binom{p^\alpha}{k} \bmod p$  for  $\alpha \in \mathbb{N}$ . We have

$$\binom{p^\alpha}{k} \bmod p \equiv 0 \bmod p \quad \text{unless } k = 0 \text{ or } k = p^\alpha$$

The previous remark implies the following result

**Lemma 1.40** (Freshman's dream): *For a prime number  $p$  we have the following identity*

$$(x + y)^p = x^p + y^p \bmod p$$

**Lemma 1.41:** *Let  $p$  be a prime,  $n \geq k \geq 0$ . Assume  $n = a \cdot p + r$  and  $k = bp + q$  where  $0 \leq r, q \leq p - 1$ . Then*

$$\binom{ap+r}{bp+q} = \binom{n}{k} \equiv \binom{a}{b} \cdot \binom{r}{q} \bmod p$$

*Proof:*

$$\begin{aligned} (1+t)^n &= (1+t)^{ap+r} = (1+t)^{ap}(1+t)^r = ((1+t)^p)^{a(1+t)^r} \\ &\stackrel[\text{fresh}]{\equiv \bmod p} (1+t^p)^{a(1+t)^r} \\ &= \sum_{i=0}^a \binom{a}{i} t^{pi} \sum_{j=0}^r \binom{r}{j} t^j \end{aligned}$$

Considering the coefficient of  $t^k = t^{bp+q}$ , there is only one term in the product. Namely we must choose  $i = b$  and  $j = q$

$$\binom{a}{b} \cdot \binom{r}{q} \equiv \binom{n}{k} \pmod{p}$$

■

*Proof Lucas theorem:* Set  $r = n_0$ ,  $a := (\dots n_2 n_1)_p$ ,  $q := k_0$  and  $b := (\dots k_2, k_1)_p$

$$\binom{(\dots n_2 n_1 n_0)_p}{(\dots k_2 k_1 k_0)_p} \equiv \binom{(\dots n_2 n_1)_p}{(\dots k_2 k_1)_p} \binom{n_0}{k_0} \equiv \text{and so on} \text{ 🤖}$$

■

**Example 1.42** (Pascals triangle):

(1) mod 2 Pascal

$$\begin{array}{cccccccc} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ & & 1 & 1 & 0 & 0 & 1 & 1 \\ & & & 1 & 0 & 0 & 0 & 1 \\ & & & & 1 & 1 & 1 & 1 \\ & & & & & 1 & 0 & 1 \\ & & & & & & 1 & 1 \\ & & & & & & & 1 \end{array}$$

When is  $\binom{n}{k}$  odd?

Let

$$n = (\dots n_2 n_1 n_0)_2 \quad n_i \in \{0, 1\}$$

$$k = (\dots k_2 k_1 k_0)_2 \quad k_i \in \{0, 1\}$$

$$\binom{n}{k} = \prod \binom{n_i}{k_i} \pmod{2}$$

$$\text{as } \binom{1}{1} = \binom{0}{0} = \binom{1}{0} = 1, \binom{0}{1} = 0$$

Criterion for parity of  $\binom{n}{k}$ :  $\binom{n}{k}$  is odd iff binary form of  $n$  “dominates” the binary form of  $k$ .

(2) mod 3:

$$\begin{array}{cccccccc}
1 & 1 & 0 & 2 & 2 & 0 & 1 & 1 \\
& 1 & 0 & 0 & 2 & 0 & 0 & 1 \\
& & 1 & 2 & 1 & 1 & 2 & 1 \\
& & & 1 & 1 & 0 & 1 & 1 \\
& & & & 1 & 0 & 0 & 1 \\
& & & & & 1 & 2 & 1 \\
& & & & & & 1 & 1 \\
& & & & & & & 1
\end{array}$$

## 1.5 Binomial transform

**Definition 1.43** (Sequence transformation): Let  $\{s_n\}_{n \geq 0}$  be a sequence of numbers its binomial transform is  $\{t_n\}_{n \geq 0}$  defined by

$$t_n = \sum_{k=0}^n \binom{n}{k} \varphi_k, \forall n \geq 0.$$

**Definition 1.44** (Pascal matrix): This is basically the matrix you get if you arrange the pascal triangle in the lower triangle. For  $d \in \mathbb{N}_0$ ,  $P_d$  is a  $(d+1) \times (d+1)$ -matrix. The rows and columns are indexed by the numbers  $0, 1, \dots, d$  and the entries are given by  $P_d(\lambda_{r,c})_{0 \leq r, c \leq d}$  with  $\lambda_{r,c} := \binom{r}{c}$ .

We now want to find the inverse of the pascal matrix. Therefore, we define another matrix.

**Definition 1.45:** We define the matrix  $Q_d = (\beta_{r,c})_{0 \leq r, c \leq d}$  as a  $(d+1) \times (d+1)$ -matrix with entries  $\beta_{r,c} := (-1)^{r+c} \binom{r}{c}$ .

**Example 1.46:**

(1) The Pascal matrix  $P_4$  is given by

$$\begin{pmatrix}
1 & 0 & 0 & 0 & 0 \\
1 & 1 & 0 & 0 & 0 \\
1 & 2 & 1 & 0 & 0 \\
1 & 3 & 3 & 1 & 0 \\
1 & 4 & 6 & 4 & 1
\end{pmatrix}$$

(2) The matrix  $Q_4$  is given by

$$\begin{pmatrix}
1 & 0 & 0 & 0 & 0 \\
-1 & 1 & 0 & 0 & 0 \\
1 & -2 & 1 & 0 & 0 \\
-1 & 3 & -3 & 1 & 0 \\
1 & -4 & 6 & -4 & 1
\end{pmatrix}$$

**Theorem 1.47** (Pascal inversion): For all  $d \geq 0$  :  $P_d^{-1} = Q_d$  . For all  $d \geq 0$  :  $P_d^{-1} = Q_d$  .

**Corollary 1.48**: Let  $\{\varphi_n\}_{n \geq 0}$  be a sequence of numbers, and  $\{t_n\}_{n \geq 0}$  its binomial transform. then

$$\mathfrak{J}_n \sum_{(-1)^{k+n}}^n \binom{n}{k} t_n .$$

*Proof:*  $\vec{\mathfrak{J}}_d = \begin{pmatrix} s_0 \\ s_1 \\ \vdots \\ s_d \end{pmatrix}$  and  $\vec{t}_d = \begin{pmatrix} t_0 \\ t_1 \\ \vdots \\ t_d \end{pmatrix}$

$$\begin{aligned} P_d \cdot \vec{\mathfrak{J}}_d &= \vec{t}_d \\ \vec{\mathfrak{J}}_d &= P_d^{-1} \vec{t}_d \\ &= Q_d \vec{t}_d \end{aligned}$$

■

*Proof Theorem 1.47:*  $P_d^{-1} = Q_d$  . then show  $P_d Q_d = \mathbb{1}$

$$P_d \cdot Q_d = (\gamma_{r,c})_{0 \leq r, c \leq d}$$

$$\gamma_{r,c} = \sum_{k=0}^d \alpha_{r,f} \cdot \beta_{k,c} = \sum_{k=0}^d (-1)^{k+c} \binom{r}{k} \cdot \binom{k}{c}$$

$$\binom{r}{k} \binom{k}{c} = 0$$

unless  $r \geq k \geq c$  so if  $r < c \Rightarrow \gamma_{r,c} = 0$

$r = c$

$$\gamma_{r,r} = (-1)^{2r} \binom{r}{r} \binom{r}{r} = 1 \text{ idk } r = k = c$$

$r > c$

$$\begin{aligned} \gamma_{r,c} &= \sum_{k=0}^d (-1)^{k+c} \binom{r}{k} \binom{k}{c} = \sum_{k=c}^r (-1)^{k+c} \binom{r}{k} \binom{k}{c} \\ &= \sum_{k=c}^r (-1)^{k+c} \binom{r}{c} \binom{r-c}{k-c} \\ &= \binom{r}{c} \sum_{k=c}^r (-1)^{k-c} \binom{r-c}{k-c} \\ &\stackrel{(i=k-c)}{=} \binom{r}{c} \underbrace{\sum_{i=0}^{r-c} (-1)^i \binom{r-c}{i}}_{=0} = 0 . \end{aligned}$$

■

**Remark 1.49**:  $P_d = \exp(A_d) = e^{A_d}$  where  $A_d$  is defined as the matrix

$$\begin{pmatrix} 0 & 0 & 0 & \dots & 0 \\ 1 & 0 & 0 & \dots & 0 \\ 0 & 2 & 0 & \dots & 0 \\ 0 & 0 & 3 & \dots & 0 \\ \dots & \dots & \dots & \dots & 0 \\ \dots & \dots & 0 & d & 0 \end{pmatrix}$$

$$e^{A_d} = I + A_d + \frac{A_d^2}{2} + \dots$$

**Example 1.50:**  $A_1 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ ,  $A_1^2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  then

$$e^{A_1} = I_2 + \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

## 2 Combinatorial sequences

Selections with repetitions

**Definition 2.1:** Let  $t, k \in \mathbb{N}_0$  and  $t \geq 1$ . We define  $\left(\binom{t}{k}\right)$  as the number of selections of  $k$  objects out of  $t$  types.



Alternatively you can describe it as placing  $k$  identical objects into  $t$  bins, where each bin represents a type.

**Example 2.2:**

- $\left(\binom{1}{k}\right) = 1 = \binom{k}{0}, \forall k$
- $\left(\binom{2}{k}\right) = k + 1 = \binom{k+1}{1}$

•  $\left(\binom{3}{k}\right) = 10 \quad \#$



•  $\left(\binom{3}{k}\right) = \sum_{i=0}^k (k - i + 1) = \frac{(k+1)(k+2)}{2} = \binom{k+2}{2}$

**Theorem 2.3:**

$$\left(\binom{t}{k}\right) = \binom{t+k-1}{t-1} = \binom{t+k-1}{k}$$

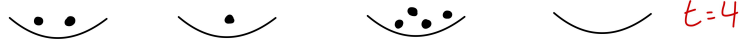
*Proof:* Can be proved by induction or directly combinatorially. Here we prove it by the method of dots and dividers. Instead of choosing  $k$  dots to be placed in  $t$  bins, we choose  $t - 1$  dividers and assign the dots correspondingly. We claim that there is a bijection between choosing  $t - 1$  dividers out of  $t + k - 1$  dots and placing  $k$  identical objects into  $t$  bins.

■

**Remark 2.4** (Method of dots & dividers):



choose  $t - 1$  out of  $t + k - 1$



**Proposition 2.5:** The number  $\binom{t}{k}$  counts the presentations of  $k$  as a sum of  $t$  nonnegative numbers.

*Proof:* There exists a bijection between writing  $k$  as a sum of  $t$  nonnegative numbers and placing  $k$  identical objects into  $t$  bins. ■

**Example 2.6:**

$$t = k = 3 \quad 3 = 2 + 0 + 1 = 2 + 1 + 0 = 0 + 3 + 0 = \dots$$

$$\sqrt{2\pi n} \cdot \frac{n}{e^n}$$

## 2.1 Stirling numbers of the 2nd kind

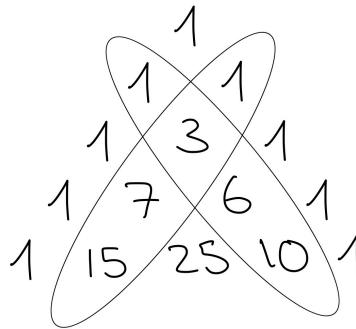
**Definition 2.7:** Let  $n$  and  $k$  be integers,  $n \geq k \geq 1$ .

$$S(n, k) := \# \text{ of ways to partition } [n] \text{ into } k \text{ non-empty parts.}$$

**Example 2.8:**  $S(5, 3) = \binom{5}{3} + 5 \cdot 3 = 10 + 15 = 25$

**Convention 2.9:**  $S(n, 0) = \begin{cases} 0 & \text{if } n \geq 1 \\ 1 & \text{if } n = 0 \end{cases}$

**Stirling triangle**



**Example 2.10:**

- $S(n, 1) = S(n, n) = 1$
- $S(n, 2) = 2^{n-1} - 1$  since  $[n] = A \cup ([n] \setminus A)$  gives us  $\frac{1}{2}(2^n - 2)$
- $S(n, n - 1) = \binom{n}{2}$

**Proposition 2.11:** For  $n \geq k \geq 1$  we have the following recursion:

$$S(n + 1, k) = k \cdot S(n, k) + S(n, k - 1)$$

(compare to binominal coefficient)

*Proof:*  $S(n + 1, k) = \#$  ways to partition  $[n + 1]$  into  $k$  nonempty parts. Further  $[n + 1] = \{1, 2, \dots, n + 1\} = [n] \cup \{n + 1\}$ . Let  $\pi$  be such a partition

$$\pi = \pi_1, \dots, \underbrace{\pi_k}_{\ni n+1}$$

**Case 1**  $\pi_k = \{n+1\} \# = S(n, k-1)$

**Case 2**  $|\pi_k| \geq 2$ , then  $\pi_1, \pi_2, \dots, \pi_{k-1}, \pi_k \setminus \{n+1\}$  still non-empty. Bijection to all partitions of  $[n]$  into  $k$  non-empty parts  $S(n, k)$  with one part special: we put  $n+1$  there.

**total**  $S(n, k-1) + k \cdot S(n, k)$

■

**Proposition 2.12** (Non-recursive formula for  $S(n, k)$ ):

$$\begin{aligned} S(n, k) &= \frac{1}{k!} \sum_{j=1}^k (-1)^{k-j} \binom{k}{j} j^n \\ &= \frac{1}{k!} \left( k^n - \binom{k}{k-1} (k-1)^n + \dots + (-1)^{k-2} \binom{k}{2} 2^n + (-1)^{k-1} \binom{k}{1} 1^n \right) \end{aligned}$$

## 2.2 Falling factorials basis

**Definition 2.13:** For  $k \geq 1$  we define

$$(x)_k := x(x-1)(x-2)\dots(x-k+1) = \frac{n!}{(n-k)!}$$

which are called falling factorial of  $x$ .  $(n)_k = k! \binom{n}{k}$

- $(x)_1 = x$
- $(x)_2 = x(x-1)$
- $(x)_3 = x(x-1)(x-2)$
- ...

Vector space of polynomials of degree  $\leq d$   $\dim = d+1$

$$a_0 + a_1x + \dots + a_dx^d \quad \mathbb{R}^{d+1}$$

coordinates:  $(a_0, a_1, \dots, a_d)$  a monomial basis  $1, x, x^2, \dots, x^d$  Falling factorials also form a basis for this space

$$(x)_0 := 1$$

$$(x)_1 = x$$

$$(x)_2 = x^2 - x$$

$$(x)_3 = x^3 - 3x^2 + 2x$$