

## Advanced Algorithms UE 6

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Group 5

### Exercise 6.1 (Flows in strongly connected networks) (10 Points)

Let  $\mathcal{N} = (V, E, c, s, t)$  be an s-t network with the following properties:

1. The sub graph of  $(V, E)$  induced by  $V \setminus s, t$  is strongly connected. That is, for every  $u, v \in V \setminus s, t$  there is a directed path from  $u$  to  $v$  in  $(V \setminus s, t, E)$ .
2. We have that  $\sum_{e \in \delta^+(s)} c(e) = \sum_{e \in \delta^-(t)} c(e) =: B$ .
3. For all  $e \in E \setminus (\delta^+(s) \cup \delta^-(t))$ , we have  $c(e) = 2B$ .

- a) Prove that there exists a maximum flow  $f$  in  $\mathcal{N}$  with  $\text{val}(f) = B$ .
- b) Moreover, give an algorithm that computes such a flow in time  $O(|E|)$ .

a)

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The maximum flow  $\mathcal{N}$  is never larger than B. -> 2. Statement ✓

#### Assumption

For every  $\mathcal{N}$  there is a Network  $\mathcal{N}' := (V', E', c', s, t)$

$V' := \{s, t, v'\}$

where we merge all vertices  $v \in V \setminus \{s, t\}$  into  $v'$ .

$E' := \{(s, v'), (v', t)\}$  this is not a sentence? what do you mean?

$$c'((s, v')) = c'((v', t)) = B$$

therefore the maximum flow of  $N'$  is  $B$

The maximum flow  $\mathcal{N}'$  is never smaller than the the maximum flow of  $\mathcal{N}$ . why?

### Proof

2. states that the maximum incoming and outgoing flow into  $V \setminus \{s, t\}$  is  $B$
3. states that  $\forall v, u \in V \setminus \{s, t\} \quad v \neq u$  have an edge  $(v, u)$  with capacity  $2B$

therefore

$$c((s, v)) \leq c((v, u))$$

$$c((u, t)) \leq c((v, u))$$

This means that for every s-t-path an edge  $(v, u)$  will never be the bottleneck edge.

because

$$\sum_{e \in \delta^+(s)} c(e) = \sum_{e \in \delta^-(t)} c(e) = B < c((v, u)) = 2B$$

we can merge all vertexes  $v \in V \setminus \{s, t\}$  into  $v'$  and the maximum flow will not change.

$\Rightarrow$  The maximum flow of  $\mathcal{N}$  is  $B$ .



**b)**

### Idea

1. Choose one Vertex in  $q \in V \setminus \{s, t\}$ .
2. Push all the flow from  $s$  to  $q$ .

3. Push all the flow from  $q$  to  $t$ .

## Algorithm

Input:  $\mathcal{N} := \{V, E, c, s, t\}$ , an empty flow  $f$

1. Take  $q \in V \setminus \{s, t\}$
2. Perform *DFS* from  $q$  to all neighbors of  $s$  on the incoming edges of each Vertex.

When hitting a neighbor  $n_s$  of  $s$  set  $f((s, n_s)) = c((s, n_s))$

When going back up an incoming edge of  $(v, u)$  of Vertex  $u$

set  $f((v, u)) = \sum_{e \in \delta^-(v)} f(e)$ .

3. Perform *DFS* from  $q$  to all neighbors of  $t$  on the outgoing edges of each Vertex.

When hitting a neighbor  $n_t$  of  $t$  set  $f((n_t, t)) = c((n_t, t))$

When going back up an outgoing edge  $(v, u)$  of Vertex  $v$

set  $f((v, u)) = f((v, u)) + \sum_{e \in \delta^+(u)} f(e)$ .

## Proof the Algorithm computes a flow of $B$

With the DFS in the second step we take all the flow from  $s$  and sum it up the tree till it is all pushed into  $q$ .

With the DFS in the third step we take that flow from  $q$  and split it down the DFS into neighbors of  $t$  so that all edges to  $t$  are satisfied.

Both DFS can at most push an flow of  $B$  over an edge.

An edge in  $V \setminus \{s, t\}$  can never be a bottleneck edge because they have all a capacity of  $2B$ .

## Runtime

1.  $O(1)$
2.  $O(|E|)$

$$3. \quad 2. O(|E|)$$

$$\Rightarrow O(2|E| + 1) = O(|E|)$$

## Exercise 6.2 (The FIFO Preflow-Push Algorithm)

A FIFO (first-in first-out) queue  $Q$  is a data structure where elements are added (push) to the end of the queue and removed from the front (pop). Consider the following variant of the generic Preflow-Push algorithm:

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### Algorithm 1: The FIFO Algorithm

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**Input:** An  $s$ - $t$ -network  $\mathcal{N} = (V, E, c, s, t)$

```

1 Init( $\mathcal{N}$ )
2 FIFO queue  $Q \leftarrow$  neighbors of  $s$  (all are active now)
3 while  $Q$  is not empty do
4    $u \leftarrow \text{pop}(Q)$ 
5   Discharge( $u$ )
6   Push all active vertices  $v \in V \setminus Q$  into  $Q$ .
7 return  $f$ 
```

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#### Init

**Function** **Init**( $\mathcal{N}$ ):

```

   $d(s) = n, d(v) = 0$  for all  $v \in V \setminus \{s\}$ 
   $f := 0$ 
  for  $v \in V$  with  $(s, v) \in E$  do
     $f(s, v) \leftarrow c(s, v)$ 
```

Preflows-1747651077894.png

#### Discharge

**Function** **Discharge**( $u$ ):

```

  while  $u$  is active and at least one edge  $(u, v) \in E_f$  is eligible do
    Push( $u, v$ )
  if  $u$  is active then
    Relabel( $u$ )
```

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## Push

**Function** **Push**( $u, v$ ):

**Precondition** :  $(u, v) \in E_f$ ,  $u$  is active, and  $(u, v)$  is eligible

**if**  $(u, v) \in E$  **then**

└  $f(u, v) \leftarrow f(u, v) + \min\{e_f(u), c_f(u, v)\}$

**if**  $(u, v) \in \overleftarrow{E}$  **then**

└  $f(v, u) \leftarrow f(v, u) - \min\{e_f(u), c_f(u, v)\}$

*Preflows-1747650858745.png*

## Relabel

**Function** **Relabel**( $u$ ):

**Precondition** :  $u$  is active and no edge out of  $u$  is eligible

└  $d(u) \leftarrow d(u) + 1$

*Preflows-1747650866913.png*

## Definition (Eligible edges and active vertices)

An edge  $(u, v) \in E_f$  is **eligible** w.r.t. a preflow  $f$  and a height  $d$  if

1.  $e_f(u) > 0$ , and
2.  $d(u) = d(v) + 1$ .

Moreover, we call a vertex  $v \in V \setminus \{s, t\}$  **active** if  $e_f(v) > 0$ .

*Preflows-1747650845491.png*

Prove that the FIFO Algorithm computes a maximum flow in time  $O(n^3)$ , where  $n = |V|$

To do so, you can use the following hints:

Split the execution of the algorithm into phases, where the Phase 1 is the processing of the neighbors of  $s$ , Phase 2 is the processing of those vertices that are added to  $Q$  during Phase 1, Phase 3 is the processing of those vertices that are added to  $Q$  during Phase 2, and so on.

Your goal is to show that the number of non-saturating Push operation is in  $O(n^3)$  (why?).

Such a bound follows from the number of phases (why?).

To analyze the number of phases, use the potential function  $\Phi = \max\{d(v) \mid v \text{ is active}\}$ . Moreover, distinguish between phases without any relabeling and phases with at least one relabeling.

So für den Fall noch ein Tipp zum bounden der Anzahl an Phases:  
In einer Phase ohne Relabelings wird jeder zu Beginn der Phase aktive Knoten inaktiv. Da alle Pushes downward sind, bedeutet das, dass die Höhe der neu aktiv gewordenen Knoten wie ist?

Und Phasen mit mindestens einem Relabel kann es höchstens wie viele geben?

## Proof

### From Lecture

The Corollary states that the number of Relabel operations is at most  $2n^2 \Rightarrow O(n^2)$

The Lemma states that the number of saturating pushes is at most  $2nm$

$m$  is at most  $n^2$  therefore it is bound by  $O(n^3)$ . ✓

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### Goal

So we need to show that number of non-saturating Push operation is also  $O(n^3)$

to show that the Algorithm has a runtime of  $O(\overset{\text{phase}}{n^2} + 2n^3) = O(n^3)$ .

### Passes Idea

We can split the Algorithm into phase passes  $P_0, P_1, \dots, P_k$   $k \leq n^2$

The first pass is all the iterations where the initial neighbors of  $s$  are popped.

The next pass is all the iterations where all the vertices are popped

that were added in the last pass.

This is possible because  $Q$  is a FIFO Queue.

For an example how the interactions are split, see the example below.

### Maximum number of Iterations

Yes, there are at most  $n$  items in the FIFO queue, but how much time does the discharge function take?

Every pass can contain at most  $n$  iterations.

So we have to prove that there are at most  $n^2$  passes.

### Pass with no relabeling operation

If a pass  $P_i$  contains no relabeling operation then all processed vertices in the pass are inactive after the pass and will therefore not be processed in the next pass  $P_{i+1}$ .

A push operation pushes from vertex  $v$  to a vertex  $u$  is only performed

if  $d(v) = d(u) + 1$

$\Rightarrow \max(d(P_i)) = \max(d(P_{i+1})) + 1$  explain your notation

The maximum height a pass can be at most  $2n^2$  because the maximum possible height of a vertex is  $2n^2$

$$\max(d(P_i)) \leq 2n^2$$

So there can be at most  $2n^2$  passes containing no relabeling operation.  $\Rightarrow O(n^2)$

You are missing explanation about the runtime of the while loop in the discharge function

### Pass with relabeling operation

The number of passes with a relabeling operation is at most  $2n^2$  because there can be at most  $2n^2$  relabel operations.  $\Rightarrow O(n^2)$

### Conclusion

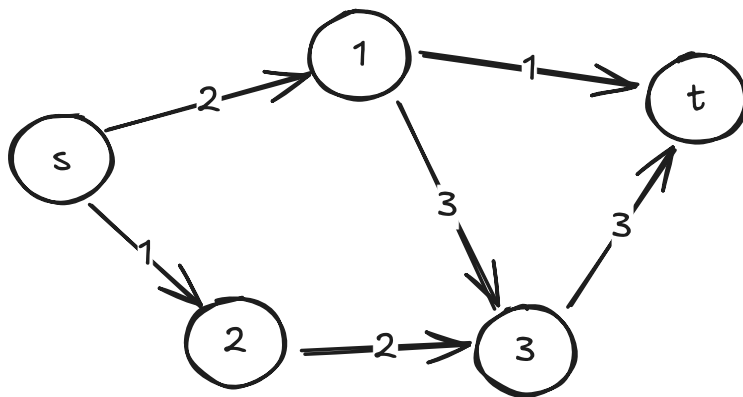
Therefore the number of Iterations is bound by  $O(n \cdot 2n^2) \Rightarrow O(n^3)$

If the number of Iterations is bound by  $O(n^3)$

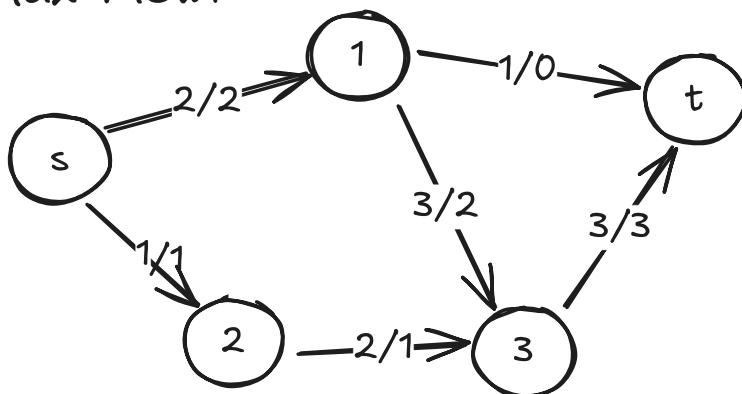
then the number of non-saturating Push operation is also bound by  $O(n^3)$ .

$\Rightarrow$  the FIFO Algorithm computes a maximum flow in  $O(n^3)$ .

### Example to understand the algorithm



Max Flow:



Init:

$Q := (1, 2)$

$d := \{(s, 5), (1, 0), (2, 0), (3, 0), (t, 0)\}$

$f := 0$

$f(s, 1) \leftarrow 2$

$f(s, 2) \leftarrow 1$

$active : \{1, 2\}$

$excess : \{(s, 0), (1, 2), (2, 1), (3, 0), (t, 0)\}$

Phase: 1



1:

$$u \leftarrow 1$$

$$Q \leftarrow (2)$$

Discharge:

1 is active

$(1, 3)$  is not eligible

$(1, t)$  is not eligible

RELABEL

$$d \leftarrow \{(s, 5), (1, 1), (2, 0), (3, 0)(t, 0)\}$$

Push all active vertices into  $Q$

$$Q \leftarrow (2, 1)$$

2:

$$u \leftarrow 2$$

$$Q \leftarrow (1)$$

Discharge:

2 is active

$(2, 3)$  is not eligible

RELABEL

$$d \leftarrow \{(s, 5), (1, 1), (2, 1), (3, 0)(t, 0)\}$$

Push all active vertices into  $Q$

$$Q \leftarrow (1, 2)$$

Phase: 2

3:

$$u \leftarrow 1$$

$$Q \leftarrow (2)$$

Discharge:

1 is active

$(1, 3)$  is eligible

PUSH

$$e(1) = 2$$

$$c(1, 3) = 3$$

$$f(1, 3) \leftarrow 2$$

$$excess : \{(s, 0), (1, 0), (2, 1), (3, 2)(t, 0)\}$$

$$active : \{2, 3\}$$

$(1, t)$  is not eligible

Push all active vertices into  $Q$

$$Q \leftarrow (2, 3)$$

4:

$$u \leftarrow 2$$

$$Q \leftarrow (3)$$

Discharge:

2 is active

(2, 3) is not eligible

RELABEL

$$d \leftarrow \{(s, 5), (1, 1), (2, 2), (3, 0)(t, 0)\}$$

Push all active vertecies into  $Q$

$$Q \leftarrow (3, 2)$$

Phase: 3

5:

$$u \leftarrow 3$$

$$Q \leftarrow (2)$$

Discharge:

3 is active

(3,  $t$ ) is not eligible

RELABEL

$$d \leftarrow \{(s, 5), (1, 1), (2, 2), (3, 1)(t, 0)\}$$

Push all active vertices into  $Q$

$$Q \leftarrow (2, 3)$$

6:

$$u \leftarrow 2$$

$$Q \leftarrow (3)$$

Discharge:

2 is active

$(2, 3)$  is eligible

PUSH

$$e(2) = 1$$

$$c(2, 3) = 2$$

$$f(1, 3) \leftarrow 1$$

$$excess : \{(s, 0), (1, 0), (2, 0), (3, 3)(t, 0)\}$$

$$active : \{3\}$$

Phase: 4

7:

$$u \leftarrow 3$$

$$Q \leftarrow ()$$

Discharge:

3 is active

$(3, t)$  is eligilbe

PUSH

$$e(3) = 3$$

$$c(3, t) = 3$$

$$f(1, 3) \leftarrow 3$$

$$excess : \{(s, 0), (1, 0), (2, 0), (3, 0)(t, 3)\}$$

$$active : \{\}$$

Push all active vertices into  $Q$

$$Q \leftarrow ()$$

done

## Summary

- Phase 1
  - relabel 1
  - relabel 2
- Phase 2:
  - push 1
  - relabel 2
- Phase 3
  - relabel 3
  - push 2
- Phase: 4
  - push 3